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PTO/98/21 (08-03)

Approved for use through 08/30/2003. GNB 0861-0031

TRANSMITTAL
FORM

(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

17

Application Number

10/076,589

Filing Date

February 19, 2002

First Named Inventor

Tetsuya Fukunaga

Art Unit

1725

Examiner Name

Christina A. Illebrando

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NOV 18 2003
TC 1700

Attorney Docket Number 09855527US1 (4081-05401)

ENCLOSURES (Check all that apply)

Fee Transmittal Form
 Fee Attached
 Amendment/Reply
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Supplemental Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Response to Missing Parts/ Incomplete Application
 Response to Missing Parts under 37 CFR 1.52 or 1.53

Drawing(s)
 Licensing-related Papers
 Petition
 Petition to Convert to a Provisional Application
 Power of Attorney (ASSOC.)
 Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s) _____

After Allowance communication to Technology Center (TC)
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please identify below):
 Postcard Acknowledgement

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Rodney B. Carroll	
Signature		
Date	November 6, 2003	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Clare Jackson	
Signature		Date November 6, 2003

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including the gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL For FY 2004

Effective 10/01/03. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** \$ 290.00**METHOD OF PAYMENT** (Check all that apply)
 Check Credit Card Money Other None
 Deposit Account Order

 Deposit Account Number: 50-1516
 Deposit Account Name: Conley Rose, P.C.

The Director is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fees(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account
FEES CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity
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Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)		
1001 770	2001 305	Utility filing fee	\$ _____
1002 340	2002 170	Design filing fee	\$ _____
1003 530	2003 265	Plant filing fee	\$ _____
1004 770	2004 305	Release filing fee	\$ _____
1005 160	2005 80	Provisional filing fee	\$ _____

SUBTOTAL (1) \$ _____**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Independent	Multiple Dependent	Fee from Extra Claims below	Fee Paid
* - 20** =	* - 18.00 =	\$ _____		
* - 3** =	* - 8.00 =	\$ _____		
		200.00	\$ _____	00.00

Large Entity **Small Entity**

Fee	Fee	Fee	Fee Description
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Code (\$)	Code (\$)		
1202 18	2202 9		Claims in excess of 20
1201 85	2201 43		Independent claims in excess of 3
1203 290	2203 145		Multiple dependent claim, if not paid
1204 85	2204 43		** Reissue independent claims over original patent
1205 18	2205 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ _____

** or number previously paid, if greater; For Reissues, see above

Complete if Known	
Application Number	10/076,589
Filing Date	February 19, 2002
First Named Inventor	Tetsuya Fukamaga
Examiner Name	Christine A. Ildebrando
Group Art Unit	1725
Attorney Docket No.	09/555527US1 (4081-05401)

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FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid		
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description		
1051	130	2051	85	Surcharge - late filing fee or oath	\$
1062	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$
1063	130	1053	130	Non-English specification	\$
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	\$
18042	920*	1804	920*	Requesting publication of SIR prior to Examiner action	\$
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	\$
1251	110	2251	65	Extension for reply within first month	\$110.00
1252	240	2252	210	Extension for reply within second month	\$
1253	950	2253	475	Extension for reply within third month	\$
1254	1,480	2254	740	Extension for reply within fourth month	\$
1255	2,010	2255	1,005	Extension for reply within fifth month	\$
1401	330	2401	165	Notice of Appeal	\$
1402	330	2402	165	Filing a brief in support of an appeal	\$
1403	200	2403	145	Request for oral hearing	\$
1451	1,510	1452	1,510	Petition to institute a public use proceeding	\$
1452	110	2452	55	Petition to revive - unavoidable	\$
1453	1,330	2453	665	Petition to revive - uninformal	\$
1501	1,330	2501	665	Utility issue fee (or reissue)	\$
1502	460	2502	240	Design issue fee	\$
1503	640	2503	320	Plant issue fee	\$
1460	130	1460	130	Petitions to the Commissioner	\$
1807	50	1856	50	Processing fee under 37 CFR 1.17(g)	\$
1808	160	1853	160	Submission of Information Disclosure Stmt	\$180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	\$
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.128(b))	\$
1801	770	2801	385	Request for Continued Examination (RCE)	\$
1802	900	1802	900	Request for expedited examination of a design application	\$
Other fee (specify)					\$

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 290.00**SUBMITTED BY****Complete if Applicable**

Name (Print/Type)	Rodney B. Carroll	Registration No. (if applicable)	39,624	Telephone	(972) 731-2288
Signature					Date November 6, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.

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